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MACROECONOMIC POLICY QUESTIONS: TRADE AND DEVELOPMENT

Strengthening international organizations in the area of
multilateral trade

Report of the Secretary-General

I. INTRODUCTION

1. In its resolution 49/97 of 19 December 1994, the General Assembly recalled its resolutions 45/201 of 21 December 1990, 46/207 of 20 December 1991, 47/184 of 22 December 1992 and 48/54 of 10 December 1993, took note of the report of the Secretary-General on the institutional developments related to the strengthening of international organizations in the area of multilateral trade (A/49/363) and recognized that there should be constructive and effective cooperation between the United Nations Conference on Trade and Development (UNCTAD) and the World Trade Organization (WTO), based on their complementary functions. It requested the Secretary-General to report to the Assembly at its fifty-first session on further institutional developments related to the strengthening of international organizations in the area of multilateral trade and, in preparing that report, to solicit the views of all Governments, competent regional economic integration organizations and the executive heads of the competent specialized agencies and of other organizations and programmes of the United Nations system on this matter.

2. The present report 1/ was prepared in accordance with resolution 49/97 and highlights the following relevant institutional developments:

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(a) A global arrangement for cooperation between the secretariats of the United Nations and WTO through an exchange of letters signed by the Secretary-General of the United Nations and the Director-General of WTO on 29 September 1995;

(b) Activities towards establishing specific arrangements for cooperation between UNCTAD and WTO;

(c) Relevant results of the ninth session of the United Nations Conference on Trade and Development, held at Midrand, South Africa, from 27 April to 11 May 1996;

(d) Other institutional developments that may be pertinent in accordance with resolution 49/97.

II. RELATIONS BETWEEN THE UNITED NATIONS AND THE WORLD TRADE ORGANIZATION

3. On 29 September 1995, an exchange of identical letters between the Secretary-General of the United Nations and the Director-General of WTO established a global arrangement for cooperation between the two organizations. This arrangement was preceded by the consultations held within the framework of General Assembly resolution 49/97 and the decision of 3 April 1995 of the General Council of WTO, which mandated the Director-General of WTO to conclude such global arrangement based on the previous United Nations/General Agreement on Tariffs and Trade (GATT) relationship.

4. The above global arrangement contains the following main elements:

(a) The recognition of the importance of achieving effective cooperation between the United Nations and WTO, consistent with the respective status and mandates of the two organizations and the contractual nature of WTO. Taking into account the experience in the relations between the United Nations and GATT, the conclusion was reached that a flexible framework for cooperation was the most desirable course of action, liable to further review and adaptation in the light of developments and emerging requirements;

(b) The agreement, in the light of the above, that the arrangements and practices described in the General Assembly document of 9 March 1976 2/ in respect of the United Nations/GATT relationship provided a suitable basis to continue to guide relations between the United Nations and WTO. These relations will thus include:

- (i) The provision and exchange of relevant information;
- (ii) Reciprocal representation in accordance with the decisions of the competent bodies of the respective organizations;
- (iii) Participation of WTO in the Administrative Committee on Coordination and its subsidiary bodies;

- (iv) Cooperation between secretariats, including in the statistical area, and administrative matters;

(c) The conclusion that specific arrangements for cooperation between UNCTAD and WTO, in accordance with the relevant decisions of the General Council of WTO, will be pursued by the two secretariats within the overall framework set out above, and in the light of relevant decisions of the Trade and Development Board, as well as General Assembly resolution 49/97;

(d) The agreement to recommend to the responsible intergovernmental organs that present arrangements governing the status of the International Trade Centre as a joint body be confirmed and renewed with WTO, subject to revised budgetary arrangements as called for by the General Council of WTO.

III. COOPERATION BETWEEN THE UNITED NATIONS CONFERENCE ON TRADE AND DEVELOPMENT AND THE WORLD TRADE ORGANIZATION

5. A new and expanded cooperation between UNCTAD and WTO is under way in the framework of the above global arrangement for relations between the United Nations and WTO. Such cooperation is based, as recognized in General Assembly resolution 49/97, on their complementary functions. This complementarity has been particularly emphasized in the final document of the ninth session of the Conference, entitled "A Partnership for Growth and Development", which underscored that "UNCTAD, having a clear comparative advantage in tackling trade-related development issues, should continue to facilitate the integration of developing countries and countries in transition into the international trading system in a complementary manner with WTO and to promote development through trade and investment in cooperation and coordination with ITC, relevant institutions of the United Nations system and other international organizations." 3/

6. In January 1996, the Secretary-General of UNCTAD and the Director-General of WTO agreed: (a) to hold meetings, chaired jointly by them, every six months; (b) to improve the working relationship between the two organizations at all levels in such areas as research, trade and investment, trade and competition, trade and environment, and trade and development; and (c) to work for greater complementarity in technical cooperation - not only between UNCTAD, WTO and the International Trade Centre UNCTAD/WTO (ITC), but also with other agencies, whether in the United Nations system, the Bretton Woods institutions or regional bodies - in order to improve coordination across the board and to make better use of resources. They also stressed their complete agreement on the high priority that must be given to Africa in the cooperative work of the two organizations. In this context, particular attention was given to the implementation of a joint UNCTAD/WTO/ITC technical cooperation programme for Africa. It was also agreed that UNCTAD and WTO would prepare a first ever joint study on "Strengthening the Participation of Developing Countries in the World Trade and the Multilateral Trading System", to be submitted to the Conference at its ninth session. 4/

7. The seventh (special) meeting of the WTO Committee on Trade and Development, held on 18 June 1996, was devoted to trade and development

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activities of UNCTAD in the light of the results of the ninth session of the Conference, with the participation of the Director-General of WTO, the Secretary-General of UNCTAD and the Executive Director of ITC. Apart from considering substantive issues related to the integration and more active participation of developing countries in the multilateral trading system, this meeting also demonstrated the strong will of the above organizations for joint cooperation and a tangible manifestation of complementarity among them. The delegations representing WTO members generally welcomed these developments and emphasized clear demarcation of mandates among UNCTAD and WTO, the need for building synergies among them, particularly focusing on problems of the least developed and other disadvantaged countries and alleviating their marginalization in the world economy and international trade.

8. In June 1996, during their second regular meeting, the executive heads of UNCTAD and WTO discussed the implementation of the collaboration on technical cooperation and the possibility of enlarging this collaboration to other institutions like the Common Fund for Commodities. It was also agreed to collaborate in the implementation of the mandate given by the Conference at its ninth session, especially in the fields of investment and environment, where the contribution of UNCTAD could be of particular relevance. The participation of the Director-General of WTO in the ninth session of the Conference was deemed as a concrete expression of the evolving cooperation between the two organizations. In this context, it was also agreed that the Director-General of WTO would address the UNCTAD Trade and Development Board at its next regular session in October 1996. 5/

9. Specifically, the evolving cooperation between UNCTAD and WTO at the working level of both secretariats is covering (a) the implications of the Uruguay Round agreements, particularly as regards new trading opportunities for developing countries and countries in transition arising from the implementation process; (b) specific problems and challenges faced by the least developed and net-food-importing developing countries; (c) trade in services; (d) trade and environment; (e) new and emerging issues on the international trade agenda, in particular trade and investment; (f) technical cooperation to increase institutional capacities of developing countries on trade policy issues; and (g) joint responsibility for the operation of ITC.

IV. RELEVANT RESULTS OF THE NINTH SESSION OF THE CONFERENCE 3/

A. Substantive mandates

10. At the ninth session of the Conference, member States decided that the main role of UNCTAD in the field of trade in goods and services should be to help maximize the positive impact of globalization and liberalization on sustainable development by assisting in the effective integration of developing countries, particularly the least developed countries and certain developing countries with structurally weak and vulnerable economies, into the international trading system so as to promote their development. Specific interests of the economies in transition should also be taken into account. This work, which should be undertaken in cooperation with WTO and other relevant international organizations, will, in particular, focus on:

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(a) Enabling these countries to respond to the opportunities arising from the Uruguay Round agreements so as to derive maximum available benefit by:

- (i) Analysing the impact of the agreements on development;
- (ii) Enhancing national capacities, in terms of human resources and administrative infrastructures, to enable WTO members to adjust effectively to meet their obligations and to take advantage of their rights;
- (iii) Assisting countries in the process of accession to WTO, including by helping them to enhance their understanding of WTO rights and obligations, as well as improving the transparency of their trade regimes;
- (iv) Identifying impediments to trading success, including barriers to export expansion and diversification;
- (v) Providing a forum for examination of issues related to trade preferences, including exploring opportunities for maximizing their utilization;
- (vi) Facilitating the understanding of the multilateral trading system by analysing, from a development perspective, issues on the international trade agenda, as identified by the Conference or the Trade and Development Board, including new and emerging issues;
- (vii) Assisting developing countries, in collaboration with the World Intellectual Property Organization (WIPO) and WTO, to identify opportunities provided by the Agreement on Trade-Related Aspects of Intellectual Property Rights, including for attracting investment and new technologies;
- (viii) Contributing, as appropriate, to the work of relevant international organizations in the implementation of the Marrakesh Ministerial Decision on Measures in Favour of the Least Developed Countries by assisting the least developed countries that are WTO members to take maximum advantage of the special and differential measures provided for in the Uruguay Round Agreements;
- (ix) Within the framework of its programme of cooperation with WTO, providing analytical information relating to the Ministerial Decision on Measures Concerning the Possible Negative Effects of the Reform Programme on Least Developed Countries and Net Food-Importing Countries;

(b) Assisting developing countries to strengthen their capacities in the services sector, as well as to identify export opportunities by undertaking directly related sectoral analysis;

(c) Promoting the integration of trade, environment and development and continuing the special role of UNCTAD in this field, in accordance with General

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Assembly resolution 50/95 of 20 December 1995, paragraph 27, by examining trade and environment issues, from a development perspective, in close cooperation with the United Nations Environment Programme (UNEP) and WTO and as task manager for the Commission on Sustainable Development by undertaking the work that the fourth session of the Commission on Sustainable Development proposed for UNCTAD, including in the field of competitiveness, market access, eco-labelling, multilateral environment agreements, positive measures and trade liberalization and sustainable development.

11. New tasks and mandates have also been identified for UNCTAD in its work related to competition law of particular relevance to development and issues of particular relevance to commodity-dependent countries. Moreover, in other three major areas of future UNCTAD work, i.e., globalization and development; investment, enterprise development and technology; and services infrastructure for development and trade efficiency, substantive trade-related components were identified.

12. The Conference also decided that in the area of international trade, the UNCTAD technical cooperation programme should focus, inter alia, on enhancing institutional and human capacities in order for developing countries to analyse new and emerging issues and to benefit from the opportunities arising from participation in the multilateral trading system and to comply with the obligations in this respect.

B. Institutional implications

13. The Conference has taken measures to make the intergovernmental machinery of UNCTAD more efficient and responsive to the needs of a rapidly changing world economy. Thus, the intergovernmental machinery is structured with the above future UNCTAD work programme, which is focused on a few priority trade and development issues of central importance on which it can make a substantial impact. The new structure of the intergovernmental bodies of UNCTAD is as follows:

(a) The Trade and Development Board, which is responsible for ensuring the overall consistency of UNCTAD activities with agreed priorities;

(b) The Board will have three Commissions as its subsidiary bodies: the Commission on Trade in Goods and Services, and Commodities; the Commission on Investment, Technology and Related Financial Issues; and the Commission on Enterprise, Business Facilitation and Development;

(c) Each of the above Commissions may convene expert meetings of short duration, while the total number of such expert meetings will not exceed 10 per annum.

V. OTHER PERTINENT INSTITUTIONAL DEVELOPMENTS

14. On 18 July 1996, the General Council of WTO adopted a complete set of rules of procedure for sessions of the Ministerial Conference and meetings of the

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General Council. 6/ Rule 11 provides that representatives of international intergovernmental organizations may attend meetings as observers on the invitation of the Ministerial Conference in accordance with the special guidelines forming annex 3 to the rules. The guidelines set several conditions, procedures and criteria for international intergovernmental organizations wishing to have an observer status in WTO, such as: (a) the organizations should have competence and a direct interest in trade policy matters; (b) they should indicate the nature of the organization and the reasons for its interest in being accorded such status; (c) requests for observer status from organizations will not, however, be considered for the meetings of the WTO Committee on Budget, Finance and Administration or of the Dispute Settlement Body (in the case of IMF and the World Bank, their requests for attendance as observers to the Dispute Settlement Body will be acted upon in accordance with the arrangements to be concluded between WTO and these two organizations); (d) requests for observer status will be considered on a case-by-case basis by each WTO body to which such a request is addressed, taking into account such factors as the nature of work of the organization concerned, the nature of its membership, the number of WTO members in the organization, reciprocity with respect to access to proceedings, documents and other aspects of observership, and whether the organization has been associated in the past with the work of the contracting parties to the General Agreement on Tariffs and Trade 1947; (e) in addition to organizations that request, and are granted, observer status, other organizations may attend meetings of the Ministerial Conference, the General Council or subsidiary bodies on the specific invitation of the Ministerial Conference, the General Council or the subsidiary body concerned; (f) organizations with which WTO has entered into a formal arrangement for cooperation and consultation will be accorded observer status in such bodies as may be determined by that arrangement; (g) organizations accorded observer status in a particular WTO body will not automatically be accorded such status in other WTO bodies; (h) representatives of organizations accorded observer status may be invited to speak at meetings of the bodies to which they are observers normally after members of that body have spoken; (i) observer organizations will receive copies of the main WTO documents series and of other documents series relating to the work of the subsidiary bodies that they attend as observers; and (j) if for any one-year period after the date of the grant of observer status there has been no attendance by the observer organization, such status will cease (in the case of sessions of the Ministerial Conference, this period will be two years).

VI. VIEWS OF GOVERNMENTS AND INTERNATIONAL ORGANIZATIONS

15. Since the adoption of General Assembly resolution 49/97 no further views or reactions from Governments and/or international organizations have been received. However, the above institutional developments, including the results of the ninth session of the Conference, the establishment of relations between the United Nations and WTO, as well as the evolving UNCTAD/WTO cooperation, which may be enlarged to include other relevant international organizations, would suggest that an appropriate international consensus regarding strengthening international organizations in the area of multilateral trade has been achieved. In this context and taking into account that these institutional developments are still in their early stages of implementation, it is important

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that the General Assembly continue following developments in this area with a view to support their implementation, consider further institutional developments and undertake the required policy recommendations. Therefore, it may wish to consider this issue biennially on the basis of the relevant report of the Secretary-General.

Notes

1/ This report should be read in conjunction with previous reports of the Secretary-General on strengthening international organizations in the area of multilateral trade: A/46/565 of 16 October 1991, A/47/410 of 28 August 1992, A/48/363 of 28 September 1993 and A/49/363 of 6 September 1994.

2/ See A/AC.179/5 of 9 March 1976.

3/ See Midrand Declaration and A Partnership For Growth and Development, adopted by the United Nations Conference on Trade and Development at its ninth session (TD/377 of 24 May 1996).

4/ This joint study was circulated as UNCTAD document TD/375 of 6 May 1996.

5/ See WTO, PRESS/50 of 21 June 1996.

6/ See WTO document WT/L.161 of 25 July 1996.



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REPORT OF THE INTERNATIONAL LAW COMMISSION ON THE WORK
OF ITS FORTY-EIGHTH SESSION

Note by the Secretary-General

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I. INTRODUCTION

1. The International Law Commission, established in pursuance of General Assembly resolution 174 (II) of 21 November 1947, in accordance with its statute annexed thereto, as subsequently amended, held its forty-eighth session at its permanent seat at the United Nations Office at Geneva from 6 May to 26 July 1996.
2. The Commission's agenda for its forty-eighth session consisted of the following items:
 1. Organization of the work of the session
 2. State responsibility
 3. Draft Code of Crimes against the Peace and Security of Mankind
 4. International liability for injurious consequences arising out of acts not prohibited by international law
 5. The law and practice relating to reservations to treaties.
 6. State succession and its impact on the nationality of natural and legal persons
 7. Programme, procedures and working methods of the Commission and its documentation
 8. Cooperation with other bodies
 9. Date and place of the forty-ninth session
 10. Other business
3. The work of the Commission during its forty-eighth session is described in its report to the General Assembly. ^{1/} Chapter II, devoted to "Draft Code of crimes against the peace and security of mankind" contains draft articles 1 to 20 as finally adopted by the Commission on that topic; Chapter III concerning "State responsibility" contains draft articles 1 to 60 and annex I and annex II provisionally adopted by the Commission on first reading.
4. For the convenience of the representatives to the fifty-first session of the General Assembly, the draft articles adopted by the Commission at its forty-eighth session under the two above-mentioned topics are reproduced below.

^{1/} Official Records of the General Assembly, Fifty-first Session, Supplement No. 10 (A/51/10).

II. DRAFT ARTICLES ON THE DRAFT CODE OF CRIMES AGAINST
THE PEACE AND SECURITY OF MANKIND

PART I. GENERAL PROVISIONS

Article 1

Scope and application of the present Code

1. The present Code applies to the crimes against the peace and security of mankind set out in Part II.
2. Crimes against the peace and security of mankind are crimes under international law and punishable as such, whether or not they are punishable under national law.

Article 2

Individual responsibility

1. A crime against the peace and security of mankind entails individual responsibility.
2. An individual shall be responsible for the crime of aggression in accordance with article 16.
3. An individual shall be responsible for a crime set out in articles 17, 18, 19 or 20 if that individual:
 - (a) intentionally commits such a crime;
 - (b) orders the commission of such a crime which in fact occurs or is attempted;
 - (c) fails to prevent or repress the commission of such a crime in the circumstances set out in article 6;
 - (d) knowingly aids, abets or otherwise assists, directly and substantially, in the commission of such a crime, including providing the means for its commission;
 - (e) directly participates in planning or conspiring to commit such a crime which in fact occurs;
 - (f) directly and publicly incites another individual to commit such a crime which in fact occurs;
 - (g) attempts to commit such a crime by taking action commencing the execution of a crime which does not in fact occur because of circumstances independent of his intentions.

Article 3

Punishment

An individual who is responsible for a crime against the peace and security of mankind shall be liable to punishment. The punishment shall be commensurate with the character and gravity of the crime.

Article 4

Responsibility of States

The fact that the present Code provides for the responsibility of individuals for crimes against the peace and security of mankind is without prejudice to any question of the responsibility of States under international law.

Article 5

Order of a Government or a superior

The fact that an individual charged with a crime against the peace and security of mankind acted pursuant to an order of a Government or a superior does not relieve him of criminal responsibility, but may be considered in mitigation of punishment if justice so requires.

Article 6

Responsibility of the superior

The fact that a crime against the peace and security of mankind was committed by a subordinate does not relieve his superiors of criminal responsibility, if they knew or had reason to know, in the circumstances at the time, that the subordinate was committing or was going to commit such a crime and if they did not take all necessary measures within their power to prevent or repress the crime.

Article 7

Official position and responsibility

The official position of an individual who commits a crime against the peace and security of mankind, even if he acted as head of State or Government, does not relieve him of criminal responsibility or mitigate punishment.

Article 8

Establishment of jurisdiction

Without prejudice to the jurisdiction of an international criminal court, each State Party shall take such measures as may be necessary to establish its

jurisdiction over the crimes set out in articles 17, 18, 19 and 20, irrespective of where or by whom those crimes were committed. Jurisdiction over the crime set out in article 15 shall rest with an international criminal court. However, a State referred to in article 16 is not precluded from trying its nationals for the crime set out in that article.

Article 9

Obligation to extradite or prosecute

Without prejudice to the jurisdiction of an international criminal court, the State Party in the territory of which an individual alleged to have committed a crime set out in articles 17, 18, 19 or 20 is found shall extradite or prosecute that individual.

Article 10

Extradition of alleged offenders

1. To the extent that the crimes set out in articles 17, 18, 19 and 20 are not extraditable offences in any extradition treaty existing between States Parties, they shall be deemed to be included as such therein. States Parties undertake to include those crimes as extraditable offences in every extradition treaty to be concluded between them.
2. If a State Party which makes extradition conditional on the existence of a treaty receives a request for extradition from another State Party with which it has no extradition treaty, it may at its option consider the present Code as the legal basis for extradition in respect of those crimes. Extradition shall be subject to the conditions provided in the law of the requested State.
3. States Parties which do not make extradition conditional on the existence of a treaty shall recognize those crimes as extraditable offences between themselves subject to the conditions provided in the law of the requested State.
4. Each of those crimes shall be treated, for the purpose of extradition between States Parties, as if it had been committed not only in the place in which it occurred but also in the territory of any other State Party.

Article 11

Judicial guarantees

1. An individual charged with a crime against the peace and security of mankind shall be presumed innocent until proved guilty and shall be entitled

without discrimination to the minimum guarantees due to all human beings with regard to the law and the facts and shall have the rights:

(a) in the determination of any charge against him, to have a fair and public hearing by a competent, independent and impartial tribunal duly established by law;

(b) to be informed promptly and in detail in a language which he understands of the nature and cause of the charge against him;

(c) to have adequate time and facilities for the preparation of his defence and to communicate with counsel of his own choosing;

(d) to be tried without undue delay;

(e) to be tried in his presence, and to defend himself in person or through legal assistance of his own choosing; to be informed, if he does not have legal assistance, of this right; and to have legal assistance assigned to him and without payment by him if he does not have sufficient means to pay for it;

(f) to examine, or have examined, the witnesses against him and to obtain the attendance and examination of witnesses on his behalf under the same conditions as witnesses against him;

(g) to have the free assistance of an interpreter if he cannot understand or speak the language used in court;

(h) not to be compelled to testify against himself or to confess guilt.

2. An individual convicted of a crime shall have the right to his conviction and sentence being reviewed according to law.

Article 12

Non bis in idem

1. No one shall be tried for a crime against the peace and security of mankind of which he has already been finally convicted or acquitted by an international criminal court.

2. An individual may not be tried again for a crime of which he has been finally convicted or acquitted by a national court except in the following cases:

(a) by an international criminal court, if:

(i) the act which was the subject of the judgment in the national court was characterized by that court as an ordinary crime and not as a crime against the peace and security of mankind; or

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- (ii) the national court proceedings were not impartial or independent or were designed to shield the accused from international criminal responsibility or the case was not diligently prosecuted;
- (b) by a national court of another State, if:
 - (i) the act which was the subject of the previous judgment took place in the territory of that State; or
 - (ii) that State was the main victim of the crime.

3. In the case of a subsequent conviction under the present Code, the court, in passing sentence, shall take into account the extent to which any penalty imposed by a national court on the same person for the same act has already been served.

Article 13

Non-retroactivity

1. No one shall be convicted under the present Code for acts committed before its entry into force.
2. Nothing in this article precludes the trial of anyone for any act which, at the time when it was committed, was criminal in accordance with international law or national law.

Article 14

Defences

The competent court shall determine the admissibility of defences in accordance with the general principles of law, in the light of the character of each crime.

Article 15

Extenuating circumstances

In passing sentence, the court shall, where appropriate, take into account extenuating circumstances in accordance with the general principles of law.

PART II. CRIMES AGAINST THE PEACE AND SECURITY OF MANKIND

Article 16

Crime of aggression

An individual, who, as leader or organizer, actively participates in or orders the planning, preparation, initiation or waging of aggression committed by a State, shall be responsible for a crime of aggression.

Article 17

Crime of Genocide

A crime of genocide means any of the following acts committed with intent to destroy, in whole or in part, a national, ethnic, racial or religious group, as such:

- (a) killing members of the group;
- (b) causing serious bodily or mental harm to members of the group;
- (c) deliberately inflicting on the group conditions of life calculated to bring about its physical destruction in whole or in part;
- (d) imposing measures intended to prevent births within the group;
- (e) forcibly transferring children of the group to another group.

Article 18

Crimes against humanity

A crime against humanity means any of the following acts, when committed in a systematic manner or on a large scale and instigated or directed by a government or by any organization or group:

- (a) murder;
- (b) extermination;
- (c) torture;
- (d) enslavement;
- (e) persecution on political, racial, religious or ethnic grounds;
- (f) institutionalized discrimination on racial, ethnic or religious grounds involving the violation of fundamental human rights and freedoms and resulting in seriously disadvantaging a part of the population;
- (g) arbitrary deportation or forcible transfer of population;
- (h) arbitrary imprisonment;
- (i) forced disappearance of persons;
- (j) rape, enforced prostitution and other forms of sexual abuse;
- (k) other inhumane acts which severely damage physical or mental integrity, health or human dignity, such as mutilation and severe bodily harm.

Article 19

Crimes against United Nations and associated personnel

1. The following crimes constitute crimes against the peace and security of mankind when committed intentionally and in a systematic manner or on a large

scale against United Nations and associated personnel involved in a United Nations operation with a view to preventing or impeding that operation from fulfilling its mandate:

(a) murder, kidnapping or other attack upon the person or liberty of any such personnel;

(b) violent attack upon the official premises, the private accommodation or the means of transportation of any such personnel likely to endanger his or her person or liberty.

2. This article shall not apply to a United Nations operation authorized by the Security Council as an enforcement action under chapter VII of the Charter of the United Nations in which any of the personnel are engaged as combatants against organized armed forces and to which the law of international armed conflict applies.

Article 20

War crimes

Any of the following war crimes constitutes a crime against the peace and security of mankind when committed in a systematic manner or on a large scale:

(a) any of the following acts committed in violation of international humanitarian law:

- (i) wilful killing;
- (ii) torture or inhuman treatment, including biological experiments;
- (iii) wilfully causing great suffering or serious injury to body or health;
- (iv) extensive destruction and appropriation of property, not justified by military necessity and carried out unlawfully and wantonly;
- (v) compelling a prisoner of war or other protected person to serve in the forces of a hostile Power;
- (vi) wilfully depriving a prisoner of war or other protected person of the rights of fair and regular trial;
- (vii) unlawful deportation or transfer or unlawful confinement of protected persons;
- (viii) taking of hostages;

(b) any of the following acts committed wilfully in violation of international humanitarian law and causing death or serious injury to body or health:

- (i) making the civilian population or individual civilians the object of attack;
- (ii) launching an indiscriminate attack affecting the civilian population or civilian objects in the knowledge that such attack will cause excessive loss of life, injury to civilians or damage to civilian objects;
- (iii) launching an attack against works or installations containing dangerous forces in the knowledge that such attack will cause excessive loss of life, injury to civilians or damage to civilian objects;
- (iv) making a person the object of attack in the knowledge that he is hors de combat;
- (v) the perfidious use of the distinctive emblem of the red cross, red crescent or red lion and sun or of other recognized protective signs;

(c) any of the following acts committed wilfully in violation of international humanitarian law:

- (i) the transfer by the Occupying Power of parts of its own civilian population into the territory it occupies;
- (ii) unjustifiable delay in the repatriation of prisoners of war or civilians;

(d) outrages upon personal dignity in violation of international humanitarian law, in particular humiliating and degrading treatment, rape, enforced prostitution and any form of indecent assault;

(e) any of the following acts committed in violation of the laws or customs of war:

- (i) employment of poisonous weapons or other weapons calculated to cause unnecessary suffering;
- (ii) wanton destruction of cities, towns or villages, or devastation not justified by military necessity;
- (iii) attack, or bombardment, by whatever means, of undefended towns, villages, dwellings or buildings or of demilitarized zones;

- (iv) seizure of, destruction of or wilful damage done to institutions dedicated to religion, charity and education, the arts and sciences, historic monuments and works of art and science;
- (v) plunder of public or private property;
- (f) any of the following acts committed in violation of international humanitarian law applicable in armed conflict not of an international character:
 - (i) violence to the life, health and physical or mental well-being of persons, in particular murder as well as cruel treatment such as torture, mutilation or any form of corporal punishment;
 - (ii) collective punishments;
 - (iii) taking of hostages;
 - (iv) acts of terrorism;
 - (v) outrages upon personal dignity, in particular humiliating and degrading treatment, rape, enforced prostitution and any form of indecent assault;
 - (vi) pillage;
 - (vii) the passing of sentences and the carrying out of executions without previous judgment pronounced by a regularly constituted court, affording all the judicial guarantees which are generally recognized as indispensable;
- (g) in the case of armed conflict, using methods or means of warfare not justified by military necessity with the intent to cause widespread, long-term and severe damage to the natural environment and thereby gravely prejudice the health or survival of the population and such damage occurs.

III. DRAFT ARTICLES ON STATE RESPONSIBILITY

Part One

Origin of international responsibility

CHAPTER I

GENERAL PRINCIPLES

Article 1

Responsibility of a State for its internationally wrongful acts

Every internationally wrongful act of a State entails the international responsibility of that State.

Article 2

Possibility that every State may be held to have committed an internationally wrongful act

Every State is subject to the possibility of being held to have committed an internationally wrongful act entailing its international responsibility.

Article 3

Elements of an internationally wrongful act of a State

There is an internationally wrongful act of a State when:

- (a) conduct consisting of an action or omission is attributable to the State under international law; and
- (b) that conduct constitutes a breach of an international obligation of the State.

Article 4

Characterization of an act of a State as internationally wrongful

An act of a State may only be characterized as internationally wrongful by international law. Such characterization cannot be affected by the characterization of the same act as lawful by internal law.

CHAPTER II

THE "ACT OF THE STATE" UNDER INTERNATIONAL LAW

Article 5

Attribution to the State of the conduct of its organs

For the purposes of the present articles, conduct of any State organ having that status under the internal law of that State shall be considered as an act of the State concerned under international law, provided that organ was acting in that capacity in the case in question.

Article 6

Irrelevance of the position of the organ in
the organization of the State

The conduct of an organ of the State shall be considered as an act of that State under international law, whether that organ belongs to the constituent, legislative, executive, judicial or other power, whether its functions are of an international or an internal character, and whether it holds a superior or a subordinate position in the organization of the State.

Article 7

Attribution to the State of the conduct of other
entities empowered to exercise elements of the
government authority

1. The conduct of an organ of a territorial governmental entity within a State shall also be considered as an act of that State under international law, provided that organ was acting in that capacity in the case in question.
2. The conduct of an organ of an entity which is not part of the formal structure of the State or of a territorial governmental entity, but which is empowered by the internal law of that State to exercise elements of the governmental authority, shall also be considered as an act of the State under international law, provided that organ was acting in that capacity in the case in question.

Article 8

Attribution to the State of the conduct of persons
acting in fact on behalf of the State

The conduct of a person or group of persons shall also be considered as an act of the State under international law if:

- (a) it is established that such person or group of persons was in fact acting on behalf of that State; or
- (b) such person or group of persons was in fact exercising elements of the governmental authority in the absence of the official authorities and in circumstances which justified the exercise of those elements of authority.

Article 9

Attribution to the State of the conduct of organs
placed at its disposal by another State or by an
international organization

The conduct of an organ which has been placed at the disposal of a State by another State or by an international organization shall be considered as an

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act of the former State under international law, if that organ was acting in the exercise of elements of the governmental authority of the State at whose disposal it has been placed.

Article 10

Attribution to the State of conduct of organs acting
outside their competence or contrary to instructions
concerning their activity

The conduct of an organ of a State, of a territorial governmental entity or of an entity empowered to exercise elements of the governmental authority, such organ having acted in that capacity, shall be considered as an act of the State under international law even if, in the particular case, the organ exceeded its competence according to internal law or contravened instructions concerning its activity.

Article 11

Conduct of persons not acting on behalf of the State

1. The conduct of a person or a group of persons not acting on behalf of the State shall not be considered as an act of the State under international law.
2. Paragraph 1 is without prejudice to the attribution to the State of any other conduct which is related to that of the persons or groups of persons referred to in that paragraph and which is to be considered as an act of the State by virtue of articles 5 to 10.

Article 12

Conduct of organs of another State

1. The conduct of an organ of a State acting in that capacity which takes place in the territory of another State or in any other territory under its jurisdiction shall not be considered as an act of the latter State under international law.
2. Paragraph 1 is without prejudice to the attribution to a State of any other conduct which is related to that referred to in that paragraph and which is to be considered as an act of that State by virtue of articles 5 to 10.

Article 13

Conduct of organs of an international organization

The conduct of an organ of an international organization acting in that capacity shall not be considered as an act of a State under international law by reason only of the fact that such conduct has taken place in the territory of that State or in any other territory under its jurisdiction.

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Article 14

Conduct of organs of an insurrectional movement

1. The conduct of an organ of an insurrectional movement which is established in the territory of a State or in any other territory under its administration shall not be considered as an act of that State under international law.
2. Paragraph 1 is without prejudice to the attribution to a State of any other conduct which is related to that of the organ of the insurrectional movement and which is to be considered as an act of that State by virtue of articles 5 to 10.
3. Similarly, paragraph 1 is without prejudice to the attribution of the conduct of the organ of the insurrectional movement to that movement in any case in which such attribution may be made under international law.

Article 15

Attribution to the State of the act of an insurrectional movement which becomes the new government of a State or which results in the formation of a new State

1. The act of an insurrectional movement which becomes the new government of a State shall be considered as an act of that State. However, such attribution shall be without prejudice to the attribution to that State of conduct which would have been previously considered as an act of the State by virtue of articles 5 to 10.
2. The act of an insurrectional movement whose action results in the formation of a new State in part of the territory of a pre-existing State or in a territory under its administration shall be considered as an act of the new State.

CHAPTER III

BREACH OF AN INTERNATIONAL OBLIGATION

Article 16

Existence of a breach of an international obligation

There is a breach of an international obligation by a State when an act of that State is not in conformity with what is required of it by that obligation.

Article 17

Irrelevance of the origin of the international
obligation breached

1. An act of a State which constitutes a breach of an international obligation is an internationally wrongful act regardless of the origin, whether customary, conventional or other, of that obligation.
2. The origin of the international obligation breached by a State does not affect the international responsibility arising from the internationally wrongful act of that State.

Article 18

Requirement that the international obligation be
in force for the State

1. An act of the State which is not in conformity with what is required of it by an international obligation constitutes a breach of that obligation only if the act was performed at the time when the obligation was in force for that State.
2. However, an act of the State which, at the time when it was performed, was not in conformity with what was required of it by an international obligation in force for that State, ceases to be considered an internationally wrongful act if, subsequently, such an act has become compulsory by virtue of a peremptory norm of general international law.
3. If an act of the State which is not in conformity with what is required of it by an international obligation has a continuing character, there is a breach of that obligation only in respect of the period during which the act continues while the obligation is in force for that State.
4. If an act of the State which is not in conformity with what is required of it by an international obligation is composed of a series of actions or omissions in respect of separate cases, there is a breach of that obligation if such an act may be considered to be constituted by the actions or omissions occurring within the period during which the obligation is in force for that State.
5. If an act of the State which is not in conformity with what is required of it by an international obligation is a complex act constituted by actions or omissions by the same or different organs of the State in respect of the same case, there is a breach of that obligation if the complex act not in

conformity with it begins with an action or omission occurring within the period during which the obligation is in force for that State, even if that act is completed after that period.

Article 19

International crimes and international delicts

1. An act of a State which constitutes a breach of an international obligation is an internationally wrongful act, regardless of the subject-matter of the obligation breached.
2. An internationally wrongful act which results from the breach by a State of an international obligation so essential for the protection of fundamental interests of the international community that its breach is recognized as a crime by that community as a whole constitutes an international crime.
3. Subject to paragraph 2, and on the basis of the rules of international law in force, an international crime may result, inter alia, from:
 - (a) a serious breach of an international obligation of essential importance for the maintenance of international peace and security, such as that prohibiting aggression;
 - (b) a serious breach of an international obligation of essential importance for safeguarding the right of self-determination of peoples, such as that prohibiting the establishment or maintenance by force of colonial domination;
 - (c) a serious breach on a widespread scale of an international obligation of essential importance for safeguarding the human being, such as those prohibiting slavery, genocide and apartheid;
 - (d) a serious breach of an international obligation of essential importance for the safeguarding and preservation of the human environment, such as those prohibiting massive pollution of the atmosphere or of the seas.
4. Any internationally wrongful act which is not an international crime in accordance with paragraph 2 constitutes an international delict.

Article 20

Breach of an international obligation requiring the adoption of a particular course of conduct

There is a breach by a State of an international obligation requiring it to adopt a particular course of conduct when the conduct of that State is not in conformity with that required of it by that obligation.

Article 21

Breach of an international obligation requiring the
achievement of a specified result

1. There is a breach by a State of an international obligation requiring it to achieve, by means of its own choice, a specified result if, by the conduct adopted, the State does not achieve the result required of it by that obligation.
2. When the conduct of the State has created a situation not in conformity with the result required of it by an international obligation, but the obligation allows that this or an equivalent result may nevertheless be achieved by subsequent conduct of the State, there is a breach of the obligation only if the State also fails by its subsequent conduct to achieve the result required of it by that obligation.

Article 22

Exhaustion of local remedies

When the conduct of a State has created a situation not in conformity with the result required of it by an international obligation concerning the treatment to be accorded to aliens, whether natural or juridical persons, but the obligation allows that this or an equivalent result may nevertheless be achieved by subsequent conduct of the State, there is a breach of the obligation only if the aliens concerned have exhausted the effective local remedies available to them without obtaining the treatment called for by the obligation or, where that is not possible, an equivalent treatment.

Article 23

Breach of an international obligation to prevent a given event

When the result required of a State by an international obligation is the prevention, by means of its own choice, of the occurrence of a given event, there is a breach of that obligation only if, by the conduct adopted, the State does not achieve that result.

Article 24

Moment and duration of the breach of an international obligation
by an act of the State not extending in time

The breach of an international obligation by an act of the State not extending in time occurs at the moment when that act is performed. The time of commission of the breach does not extend beyond that moment, even if the effects of the act of the State continue subsequently.

Article 25

Moment and duration of the breach of an international obligation
by an act of the State extending in time

1. The breach of an international obligation by an act of the State having a continuing character occurs at the moment when that act begins. Nevertheless, the time of commission of the breach extends over the entire period during which the act continues and remains not in conformity with the international obligation.
2. The breach of an international obligation by an act of the State, composed of a series of actions or omissions in respect of separate cases, occurs at the moment when that action or omission of the series is accomplished which establishes the existence of the composite act. Nevertheless, the time of commission of the breach extends over the entire period from the first of the actions or omissions constituting the composite act not in conformity with the international obligation and so long as such actions or omissions are repeated.
3. The breach of an international obligation by a complex act of the State, consisting of a succession of actions or omissions by the same or different organs of the State in respect of the same case, occurs at the moment when the last constituent element of that complex act is accomplished. Nevertheless, the time of commission of the breach extends over the entire period between the action or omission which initiated the breach and that which completed it.

Article 26

Moment and duration of the breach of an international
obligation to prevent a given event

The breach of an international obligation requiring a State to prevent a given event occurs when the event begins. Nevertheless, the time of commission of the breach extends over the entire period during which the event continues.

CHAPTER IV

IMPLICATION OF A STATE IN THE INTERNATIONALLY WRONGFUL
ACT OF ANOTHER STATE

Article 27

Aid or assistance by a State to another State for the
commission of an internationally wrongful act

Aid or assistance by a State to another State, if it is established that it is rendered for the commission of an internationally wrongful act carried

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out by the latter, itself constitutes an internationally wrongful act, even if, taken alone, such aid or assistance would not constitute the breach of an international obligation.

Article 28

Responsibility of a State for an internationally
wrongful act of another State

1. An internationally wrongful act committed by a State in a field of activity in which that State is subject to the power of direction or control of another State entails the international responsibility of that other State.
2. An internationally wrongful act committed by a State as the result of coercion exerted by another State to secure the commission of that act entails the international responsibility of that other State.
3. Paragraphs 1 and 2 are without prejudice to the international responsibility, under the other articles of the present draft, of the State which has committed the internationally wrongful act.

CHAPTER V

CIRCUMSTANCES PRECLUDING WRONGFULNESS

Article 29

Consent

1. The consent validly given by a State to the commission by another State of a specified act not in conformity with an obligation of the latter State towards the former State precludes the wrongfulness of the act in relation to that State to the extent that the act remains within the limits of that consent.
2. Paragraph 1 does not apply if the obligation arises out of a peremptory norm of general international law. For the purposes of the present draft articles, a peremptory norm of general international law is a norm accepted and recognized by the international community of States as a whole as a norm from which no derogation is permitted and which can be modified only by a subsequent norm of general international law having the same character.

Article 30

Countermeasures in respect of an internationally wrongful act

The wrongfulness of an act of a State not in conformity with an obligation of that State towards another State is precluded if the act constitutes a measure legitimate under international law against that other State, in consequence of an internationally wrongful act of that other State.

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Article 31

Force majeure and fortuitous event

1. The wrongfulness of an act of a State not in conformity with an international obligation of that State is precluded if the act was due to an irresistible force or to an unforeseen external event beyond its control which made it materially impossible for the State to act in conformity with that obligation or to know that its conduct was not in conformity with that obligation.
2. Paragraph 1 shall not apply if the State in question has contributed to the occurrence of the situation of material impossibility.

Article 32

Distress

1. The wrongfulness of an act of a State not in conformity with an international obligation of that State is precluded if the author of the conduct which constitutes the act of that State had no other means, in a situation of extreme distress, of saving his life or that of persons entrusted to his care.
2. Paragraph 1 shall not apply if the State in question has contributed to the occurrence of the situation of extreme distress or if the conduct in question was likely to create a comparable or greater peril.

Article 33

State of necessity

1. A state of necessity may not be invoked by a State as a ground for precluding the wrongfulness of an act of that State not in conformity with an international obligation of the State unless:
 - (a) the act was the only means of safeguarding an essential interest of the State against a grave and imminent peril; and
 - (b) the act did not seriously impair an essential interest of the State towards which the obligation existed.
2. In any case, a state of necessity may not be invoked by a State as a ground for precluding wrongfulness:
 - (a) if the international obligation with which the act of the State is not in conformity arises out of a peremptory norm of general international law; or

(b) if the international obligation with which the act of the State is not in conformity is laid down by a treaty which, explicitly or implicitly, excludes the possibility of invoking the state of necessity with respect to that obligation; or

(c) if the State in question has contributed to the occurrence of the state of necessity.

Article 34

Self-defence

The wrongfulness of an act of a State not in conformity with an international obligation of that State is precluded if the act constitutes a lawful measure of self-defence taken in conformity with the Charter of the United Nations.

Article 35

Reservation as to compensation for damage

Preclusion of the wrongfulness of an act of a State by virtue of the provisions of articles 29, 31, 32 or 33 does not prejudice any question that may arise in regard to compensation for damage caused by that act.

Part Two

Content, forms and degrees of international responsibility

CHAPTER I

GENERAL PRINCIPLES

Article 36

Consequences of an internationally wrongful act

1. The international responsibility of a State which, in accordance with the provisions of Part One, arises from an internationally wrongful act committed by that State, entails legal consequences as set out in this Part.
2. The legal consequences referred to in paragraph 1 are without prejudice to the continued duty of the State which has committed the internationally wrongful act to perform the obligation it has breached.

Article 37

Lex specialis

The provisions of this Part do not apply where and to the extent that the legal consequences of an internationally wrongful act of a State have been determined by other rules of international law relating specifically to that act.

Article 38

Customary international law

The rules of customary international law shall continue to govern the legal consequences of an internationally wrongful act of a State not set out in the provisions of this Part.

Article 39 2/

Relationship to the Charter of the United Nations

The legal consequences of an internationally wrongful act of a State set out in the provisions of this Part are subject, as appropriate, to the provisions and procedure of the Charter of the United Nations relating to the maintenance of international peace and security.

2/ The Commission recognized that, to the extent that articles are ultimately adopted in the form of a convention, the relationship of such a convention with the Charter is governed by Article 103 of the Charter. Given that the provisions of the Charter prevail, many members of the Commission were apprehensive that a State's rights or obligations under the convention - that is based on the law of State responsibility - could be overridden by decisions of the Security Council taken under Chapter VII of the Charter which, under Article 25 of the Charter, Member States are bound to accept and carry out.

For example, would the Security Council, acting in order to maintain or restore international peace and security, be able to deny a State's plea of necessity (art. 33), or a State's right to take countermeasures (arts. 47 and 48), or impose an obligation to arbitrate (art. 58)?

On one view the Security Council could not, as a general rule, deprive a State of its legal rights, or impose obligations beyond those arising from general international law and the Charter itself. Exceptionally, it might call on a State to suspend the exercise of its legal rights, as for example when requiring the suspension of countermeasures as a provisional measure under Article 40 of the Charter. Or the denial of legal rights might be more permanent in the case of a State determined to be an aggressor. But on this view the Security Council should in general act with full regard for the legal rights of States.

A different view would regard this approach as too restrictive, too "legalistic", and as minimizing the overriding interest of the entire community of States in preserving international peace.

The terms of article 39 do not seek to resolve this question, one way or the other. The Commission would welcome quite specific comments by States on the issues raised, so that, during the course of its second reading, the Commission could return to these important issues.

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Article 40

Meaning of injured State

1. For the purposes of the present articles, "injured State" means any State a right of which is infringed by the act of another State, if that act constitutes, in accordance with Part One, an internationally wrongful act of that State.
2. In particular, "injured State" means:
 - (a) if the right infringed by the act of a State arises from a bilateral treaty, the other State party to the treaty;
 - (b) if the right infringed by the act of a State arises from a judgement or other binding dispute settlement decision of an international court or tribunal, the other State or States parties to the dispute and entitled to the benefit of that right;
 - (c) if the right infringed by the act of a State arises from a binding decision of an international organ other than an international court or tribunal, the State or States which, in accordance with the constituent instrument of the international organization concerned, are entitled to the benefit of that right;
 - (d) if the right infringed by the act of a State arises from a treaty provision for a third State, that third State;
 - (e) if the right infringed by the act of a State arises from a multilateral treaty or from a rule of customary international law, any other State party to the multilateral treaty or bound by the relevant rule of customary international law, if it is established that:
 - (i) the right has been created or is established in its favour;
 - (ii) the infringement of the right by the act of a State necessarily affects the enjoyment of the rights or the performance of the obligations of the other States parties to the multilateral treaty or bound by the rule of customary international law; or
 - (iii) the right has been created or is established for the protection of human rights and fundamental freedoms;
 - (f) if the right infringed by the act of a State arises from a multilateral treaty, any other State party to the multilateral treaty, if it is established that the right has been expressly stipulated in that treaty for the protection of the collective interests of the States parties thereto.

3. In addition, "injured State" means, if the internationally wrongful act constitutes an international crime*, all other States.

CHAPTER II

RIGHTS OF THE INJURED STATE AND OBLIGATIONS OF THE STATE WHICH HAS COMMITTED AN INTERNATIONALLY WRONGFUL ACT

Article 41

Cessation of wrongful conduct

A State whose conduct constitutes an internationally wrongful act having a continuing character is under the obligation to cease that conduct, without prejudice to the responsibility it has already incurred.

Article 42

Reparation

1. The injured State is entitled to obtain from the State which has committed an internationally wrongful act full reparation in the form of restitution in kind, compensation, satisfaction and assurances and guarantees of non-repetition, either singly or in combination.

2. In the determination of reparation, account shall be taken of the negligence or the wilful act or omission of:

(a) the injured State; or

(b) a national of that State on whose behalf the claim is brought; which contributed to the damage.

3. In no case shall reparation result in depriving the population of a State of its own means of subsistence.

4. The State which has committed the internationally wrongful act may not invoke the provisions of its internal law as justification for the failure to provide full reparation.

Article 43

Restitution in kind

The injured State is entitled to obtain from the State which has committed an internationally wrongful act restitution in kind, that is, the

* The term "crime" is used for consistency with article 19 of Part One of the articles. It was, however, noted that alternative phrases such as "an international wrongful act of a serious nature" or "an exceptionally serious wrongful act" could be substituted for the term "crime", thus, inter alia, avoiding the penal implication of the term.

re-establishment of the situation which existed before the wrongful act was committed, provided and to the extent that restitution in kind:

- (a) is not materially impossible;
- (b) would not involve a breach of an obligation arising from a peremptory norm of general international law;
- (c) would not involve a burden out of all proportion to the benefit which the injured State would gain from obtaining restitution in kind instead of compensation; or
- (d) would not seriously jeopardize the political independence or economic stability of the State which has committed the internationally wrongful act, whereas the injured State would not be similarly affected if it did not obtain restitution in kind.

Article 44

Compensation

1. The injured State is entitled to obtain from the State which has committed an internationally wrongful act compensation for the damage caused by that act, if and to the extent that the damage is not made good by restitution in kind.
2. For the purposes of the present article, compensation covers any economically assessable damage sustained by the injured State, and may include interest and, where appropriate, loss of profits.

Article 45

Satisfaction

1. The injured State is entitled to obtain from the State which has committed an internationally wrongful act satisfaction for the damage, in particular moral damage, caused by that act, if and to the extent necessary to provide full reparation.
2. Satisfaction may take the form of one or more of the following:
 - (a) an apology;
 - (b) nominal damages;
 - (c) in cases of gross infringement of the rights of the injured State, damages reflecting the gravity of the infringement;
 - (d) in cases where the internationally wrongful act arose from the serious misconduct of officials or from criminal conduct of officials or private parties, disciplinary action against, or punishment of, those responsible.

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3. The right of the injured State to obtain satisfaction does not justify demands which would impair the dignity of the State which has committed the internationally wrongful act.

Article 46

Assurances and guarantees of non-repetition

The injured State is entitled, where appropriate, to obtain from the State which has committed an internationally wrongful act assurances or guarantees of non-repetition of the wrongful act.

CHAPTER III

COUNTERMEASURES

Article 47

Countermeasures by an injured State

1. For the purposes of the present draft articles, the taking of countermeasures means that an injured State does not comply with one or more of its obligations towards a State which has committed an internationally wrongful act in order to induce it to comply with its obligations under articles 41 to 46, as long as it has not complied with those obligations and as necessary in the light of its response to the demands of the injured State that it do so.

2. The taking of countermeasures is subject to the conditions and restrictions set out in articles 48 to 50.

3. Where a countermeasure against a State which has committed an internationally wrongful act involves a breach of an obligation towards a third State, such a breach cannot be justified under this chapter as against the third State.

Article 48

Conditions relating to resort to countermeasures

1. Prior to taking countermeasures, an injured State shall fulfil its obligation to negotiate provided for in article 54. This obligation is without prejudice to the taking by that State of interim measures of protection which are necessary to preserve its rights and which otherwise comply with the requirements of this Chapter.

2. An injured State taking countermeasures shall fulfil the obligations in relation to dispute settlement arising under Part Three or any other binding dispute settlement procedure in force between the injured State and the State which has committed the internationally wrongful act.

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3. Provided that the internationally wrongful act has ceased, the injured State shall suspend countermeasures when and to the extent that the dispute settlement procedure referred to in paragraph 2 is being implemented in good faith by the State which has committed the internationally wrongful act and the dispute is submitted to a tribunal which has the authority to issue orders binding on the parties.

4. The obligation to suspend countermeasures ends in case of failure by the State which has committed the internationally wrongful act to honour a request or order emanating from the dispute settlement procedure.

Article 49

Proportionality

Countermeasures taken by an injured State shall not be out of proportion to the degree of gravity of the internationally wrongful act and the effects thereof on the injured State.

Article 50

Prohibited countermeasures

An injured State shall not resort by way of countermeasures to:

- (a) the threat or use of force as prohibited by the Charter of the United Nations;
- (b) extreme economic or political coercion designed to endanger the territorial integrity or political independence of the State which has committed the internationally wrongful act;
- (c) any conduct which infringes the inviolability of diplomatic or consular agents, premises, archives and documents;
- (d) any conduct which derogates from basic human rights; or
- (e) any other conduct in contravention of a peremptory norm of general international law.

CHAPTER IV

INTERNATIONAL CRIMES

Article 51

Consequences of an international crime

An international crime entails all the legal consequences of any other internationally wrongful act and, in addition, such further consequences as are set out in articles 52 and 53.

Article 52

Specific consequences

Where an internationally wrongful act of a State is an international crime:

- (a) an injured State's entitlement to obtain restitution in kind is not subject to the limitations set out in subparagraphs (c) and (d) of article 43;
- (b) an injured State's entitlement to obtain satisfaction is not subject to the restriction in paragraph 3 of article 45.

Article 53

Obligations for all States

An international crime committed by a State entails an obligation for every other State:

- (a) not to recognize as lawful the situation created by the crime;
- (b) not to render aid or assistance to the State which has committed the crime in maintaining the situation so created;
- (c) to cooperate with other States in carrying out the obligations under subparagraphs (a) and (b); and
- (d) to cooperate with other States in the application of measures designed to eliminate the consequences of the crime.

Part Three

Settlement of disputes

Article 54

Negotiation

If a dispute regarding the interpretation or application of the present articles arises between two or more States Parties to the present articles, they shall, upon the request of any of them, seek to settle it amicably by negotiation.

Article 55

Good offices and mediation

Any State Party to the present articles, not being a party to the dispute may, at the request of any party to the dispute or upon its own initiative, tender its good offices or offer to mediate with a view to facilitating an amicable settlement of the dispute.

Article 56

Conciliation

If, three months after the first request for negotiations, the dispute has not been settled by agreement and no mode of binding third party settlement has been instituted, any party to the dispute may submit it to conciliation in conformity with the procedure set out in annex I to the present articles.

Article 57

Task of the Conciliation Commission

1. The task of the Conciliation Commission shall be to elucidate the questions in dispute, to collect with that object all necessary information by means of inquiry or otherwise and to endeavour to bring the parties to the dispute to a settlement.
2. To that end, the parties shall provide the Commission with a statement of their position regarding the dispute and of the facts upon which that position is based. In addition, they shall provide the Commission with any further information or evidence as the Commission may request and shall assist the Commission in any independent fact-finding it may wish to undertake, including fact-finding within the territory of any party to the dispute, except where exceptional reasons make this impractical. In that event, that party shall give the Commission an explanation of those exceptional reasons.
3. The Commission may, at its discretion, make preliminary proposals to any or all of the parties, without prejudice to its later recommendations.
4. The recommendations to the parties shall be embodied in a report to be presented not later than three months from the formal constitution of the Commission, and the Commission may specify the period within which the parties are to respond to those recommendations.
5. If the response by the parties to the Commission's recommendations does not lead to the settlement of the dispute, the Commission may submit to them a final report containing its own evaluation of the dispute and its recommendations for settlement.

Article 58

Arbitration

1. Failing a reference of the dispute to the Conciliation Commission provided for in article 56 or failing an agreed settlement within six months

following the report of the Commission, the parties to the dispute may, by agreement, submit the dispute to an arbitral tribunal to be constituted in conformity with annex II to the present articles.

2. In cases, however, where the dispute arises between States Parties to the present articles, one of which has taken countermeasures against the other, the State against which they are taken is entitled at any time unilaterally to submit the dispute to an arbitral tribunal to be constituted in conformity with annex II to the present articles.

Article 59

Terms of reference of the Arbitral Tribunal

1. The Arbitral Tribunal, which shall decide with binding effect any issues of fact or law which may be in dispute between the parties and are relevant under any of the provisions of the present articles, shall operate under the rules laid down or referred to in annex II to the present articles and shall submit its decision to the parties within six months from the date of completion of the parties' written and oral pleadings and submissions.

2. The Tribunal shall be entitled to resort to any fact-finding it deems necessary for the determination of the facts of the case.

Article 60

Validity of an arbitral award

1. If the validity of an arbitral award is challenged by either party to the dispute, and if within three months of the date of the challenge the parties have not agreed on another tribunal, the International Court of Justice shall be competent, upon the timely request of any party, to confirm the validity of the award or declare its total or partial nullity.

2. Any issue in dispute left unresolved by the nullification of the award may, at the request of any party, be submitted to a new arbitration before an arbitral tribunal to be constituted in conformity with annex II to the present articles.

Annex I

The Conciliation Commission

1. A list of conciliators consisting of qualified jurists shall be drawn up and maintained by the Secretary-General of the United Nations. To this end, every State which is a Member of the United Nations or a Party to the present articles shall be invited to nominate two conciliators, and the names of the persons so nominated shall constitute the list. The term of a conciliator, including that of any conciliator nominated to fill a casual vacancy, shall be five years and may be renewed. A conciliator whose term expires shall continue to fulfil any function for which he shall have been chosen under paragraph 2.
2. A party may submit a dispute to conciliation under article 56 by a request to the Secretary-General who shall establish a Conciliation Commission to be constituted as follows:
 - (a) The State or States constituting one of the parties to the dispute shall appoint:
 - (i) one conciliator of the nationality of that State or of one of those States, who may or may not be chosen from the list referred to in paragraph 1; and
 - (ii) one conciliator not of the nationality of that State or of any of those States, who shall be chosen from the list.
 - (b) The State or States constituting the other party to the dispute shall appoint two conciliators in the same way.
 - (c) The four conciliators appointed by the parties shall be appointed within 60 days following the date on which the Secretary-General receives the request.
 - (d) The four conciliators shall, within 60 days following the date of the last of their own appointments, appoint a fifth conciliator chosen from the list, who shall be chairman.
 - (e) If the appointment of the chairman or of any of the other conciliators has not been made within the period prescribed above for such appointment, it shall be made from the list by the Secretary-General within 60 days following the expiry of that period. Any of the periods within which appointments must be made may be extended by agreement between the parties.
 - (f) Any vacancy shall be filled in the manner prescribed for the initial appointment.

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3. The failure of a party or parties to participate in the conciliation procedure shall not constitute a bar to the proceedings.
4. A disagreement as to whether a Commission acting under this Annex has competence shall be decided by the Commission.
5. The Commission shall determine its own procedure. Decisions of the Commission shall be made by a majority vote of the five members.
6. In disputes involving more than two parties having separate interests, or where there is disagreement as to whether they are of the same interest, the parties shall apply paragraph 2 in so far as possible.

Annex II

The Arbitral Tribunal

1. The Arbitral Tribunal referred to in articles 58 and 60, paragraph 2 shall consist of five members. The parties to the dispute shall each appoint one member, who may be chosen from among their respective nationals. The three other arbitrators including the Chairman shall be chosen by common agreement from among the nationals of third States.
2. If the appointment of the members of the Tribunal is not made within a period of three months from the date on which one of the parties requested the other party to constitute an arbitral tribunal, the necessary appointments shall be made by the President of the International Court of Justice. If the President is prevented from acting or is a national of one of the parties, the appointments shall be made by the Vice-President. If the Vice-President is prevented from acting or is a national of one of the parties, the appointments shall be made by the most senior member of the Court who is not a national of either party. The members so appointed shall be of different nationalities and, except in the case of appointments made because of failure by either party to appoint a member, may not be nationals of, in the service of or ordinarily resident in the territory of a party.
3. Any vacancy which may occur as a result of death, resignation or any other cause shall be filled within the shortest possible time in the manner prescribed for the initial appointment.
4. Following the establishment of the Tribunal, the parties shall draw up an agreement specifying the subject-matter of the dispute, unless they have done so before.
5. Failing the conclusion of an agreement within a period of three months from the date on which the Tribunal was constituted, the subject-matter of the dispute shall be determined by the Tribunal on the basis of the application submitted to it.
6. The failure of a party or parties to participate in the arbitration procedure shall not constitute a bar to the proceedings.
7. Unless the parties otherwise agree, the Tribunal shall determine its own procedure. Decisions of the Tribunal shall be made by a majority vote of the five members.